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# Premature melt solidification during mold filling and its influence on the as-cast structure

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**Abstract** Premature melt solidification is the solidification of a melt during mold filling. In this study, a numerical model is used to analyze the influence of the pouring process on the premature solidification. The numerical model considers three phases, namely, air, melt, and equiaxed crystals. The crystals are assumed to have originated from the heterogeneous nucleation in the undercooled melt resulting from the first contact of the melt with the cold mold during pouring. The transport of the crystals by the melt flow, in accordance with the so-called “big bang” theory, is considered. The crystals are assumed globular in morphology and capable of growing according to the local constitutional undercooling. These crystals can also be remelted by mixing with the superheated melt. As the modeling results, the evolutionary trends of the number density of the crystals and the volume fraction of the solid crystals in the melt during pouring are presented. The calculated number density of the crystals and the volume fraction of the solid crystals in the melt at the end of pouring are used as the initial conditions for the subsequent solidification simulation of the evolution of the as-cast structure. A five-phase volume-average model for mixed columnar-equiaxed solidification is used for the solidification simulation. An improved agreement between the simulation and experimental results is achieved by considering the effect of premature melt solidification during mold filling. Finally, the influences of pouring

parameters, namely, pouring temperature, initial mold temperature, and pouring rate, on the premature melt solidification are discussed.

**Keywords** premature solidification, mold filling, as-cast structure, modeling

## 1 Introduction

The typical as-cast structure of gravity castings or ingots consists of equiaxed and/or columnar crystal zones. Primary theories describing the formation of these crystal zones focus highly on the origin of equiaxed crystals [1,2]. A casting that is poured with superheated melt without sufficient equiaxed inoculants develops a dominant columnar structure, whereas a casting that is well inoculated and poured with less superheated melt can develop a complete equiaxed structure. Several plausible formation mechanisms of equiaxed crystals in engineering castings have been proposed. The first is the heterogeneous nucleation in the bulk melt when it becomes constitutionally undercooled [3]. The second is the “big-bang” theory, which posits that the crystals originate from the undercooled melt at the mold wall during pouring; some of these crystals survive at low superheat, and they are transported by the melt flow to the inner region of the casting [4]. The third is the fragmentation of columnar dendrites because of the local remelting induced by flow and temperature fluctuation, and the crystal fragments are transported to the bulk melt region [5]. The fourth proposed mechanism is “crystal showering,” or crystal settling, which occurs on the top free surface of the casting; the melt is undercooled, and nucleation occurs on this surface [6]. Unlike the first mechanism, which involves the constitutional undercooling of the bulk melt, the other three mechanisms all suggest that the transport of the crystal nuclei by the melt flow plays a critical role. Some experimental studies show that suppressing the melt flow under strong static magnetic field [7] or microgravity [8]

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condition can induce a preferable columnar structure in the castings, further confirming the importance of the crystal transport phenomenon. Another important issue in the formation of as-cast structure is the solidification process subsequent to mold filling. The growth competition between the equiaxed and columnar structures leads to the columnar-to-equiaxed transition (CET) [9]. Similarly, the transport of the equiaxed crystals plays an important role in the event of CET.

In previous studies, the application of the proposed theories to explain the as-cast structure of engineering castings is merely hypothetical, as the quantitative description of the crystal transport phenomenon during mold filling and solidification is difficult. Therefore, the modeling approach becomes a favorable option. Wu et al. have recently used a five-phase volume-average model [10] to calculate the as-cast structure of Al-Cu alloy ingots [11,12]. The nucleation of equiaxed grains, the mixed columnar-equiaxed dendritic solidification, the melt flow and transport of equiaxed crystals, and their influence on the as-cast structure formation have been considered in the model. However, they have ignored the pouring process by assuming the simultaneous filling of the mold at an initial temperature without premature melt solidification. In the current paper, premature melt solidification is referred to the solidification of the melt during mold filling. The model has successfully “reproduced” the as-cast structure of the casting as poured at large superheat, but it has failed to generate the as-cast structure of the casting as poured at low superheat. The assumption of simultaneous mold filling without considering the premature solidification is valid for casting as poured at high superheat, but this assumption is invalid for casting as poured at low superheat. Therefore, the premature solidification during pouring at high superheat is negligible, whereas that during pouring at low superheat is significant. The goal of the current study is to use another numerical tool [13,14] to analyze the influence of the pouring process on the premature solidification. This numerical model considers three phases, namely, air, melt, and equiaxed crystals. The origin of the crystals is assumed to be due to the heterogeneous nucleation in the undercooled melt when it initially contacts with the cold mold during pouring. The transport of the crystals by the melt flow during mold filling, according to the so-called “big bang” theory, is considered. On this basis, the influences of pouring parameters, namely, pouring temperature, initial mold temperature, and pouring rate, on the premature melt solidification and, consequently, on the formation of the as-cast structure can be analyzed.

## 2 Numerical model and simulation settings

The simulation of the mold filling is conducted using a

three-phase globular equiaxed solidification model [13,14]. All conservation equations and closure laws are summarized as follows [15,16]:

1) Mass:

$$\begin{cases} \frac{\partial}{\partial t}(f_\ell \rho_\ell) + \nabla \cdot (f_\ell \rho_\ell \vec{u}_\ell) = -M_{\ell e} \\ \frac{\partial}{\partial t}(f_e \rho_e) + \nabla \cdot (f_e \rho_e \vec{u}_e) = M_{\ell e} \\ \frac{\partial}{\partial t}(f_a \rho_a) + \nabla \cdot (f_a \rho_a \vec{u}_a) = 0 \end{cases}, \quad (1)$$

with  $f_a + f_\ell + f_e = 1$ ,  $f_a$ ,  $f_\ell$ , and  $f_e$  are volume fractions (dimensionless),  $\rho_a$ ,  $\rho_\ell$ , and  $\rho_e$  are densities (unit: kg/m<sup>3</sup>),  $\vec{u}_a$ ,  $\vec{u}_\ell$ , and  $\vec{u}_e$  are velocities (unit: m/s), and  $M_{\ell e}$  is mass transfer rate (unit: kg/s/m<sup>3</sup>), where subscripts, a,  $\ell$ , and e, indicate air, liquid, and equiaxed phases, respectively.

2) Momentum:

$$\begin{cases} \frac{\partial}{\partial t}(f_\ell \rho_\ell \vec{u}_\ell) + \nabla \cdot (f_\ell \rho_\ell \vec{u}_\ell \otimes \vec{u}_\ell) \\ = -f_\ell \nabla p + \nabla \cdot \bar{\bar{\tau}}_\ell + f_\ell \rho_\ell \vec{g} - \vec{U}_{\ell e} - \vec{U}_{\ell a} \\ \frac{\partial}{\partial t}(f_e \rho_e \vec{u}_e) + \nabla \cdot (f_e \rho_e \vec{u}_e \otimes \vec{u}_e) \\ = -f_e \nabla p + \nabla \cdot \bar{\bar{\tau}}_e + f_e \rho_e \vec{g} + \vec{U}_{\ell e} - \vec{U}_{e a} \\ \frac{\partial}{\partial t}(f_a \rho_a \vec{u}_a) + \nabla \cdot (f_a \rho_a \vec{u}_a \otimes \vec{u}_a) \\ = -f_a \nabla p + \nabla \cdot \bar{\bar{\tau}}_a + f_a \rho_a \vec{g} + \vec{U}_{\ell a} + \vec{U}_{e a} \end{cases}, \quad (2)$$

where  $p$  is pressure,  $\bar{\bar{\tau}}_\ell$ ,  $\bar{\bar{\tau}}_e$ , and  $\bar{\bar{\tau}}_a$  are stress tensors (unit: kg/m/s<sup>2</sup>),  $\vec{U}_{\ell a}$ ,  $\vec{U}_{\ell e}$ , and  $\vec{U}_{e a}$  are momentum exchange (unit: kg/m<sup>2</sup>/s<sup>2</sup>), and  $\vec{g}$  is the gravity acceleration (unit: m/s<sup>2</sup>).

3) Species:

$$\begin{cases} \frac{\partial}{\partial t}(f_\ell \rho_\ell c_\ell) + \nabla \cdot (f_\ell \rho_\ell \vec{u}_\ell c_\ell) = -C_{\ell e} \\ \frac{\partial}{\partial t}(f_e \rho_e c_e) + \nabla \cdot (f_e \rho_e \vec{u}_e c_e) = C_{\ell e} \end{cases}, \quad (3)$$

where  $c_\ell$  and  $c_e$  are species mass fractions (dimensionless), and  $C_{\ell e}$  is species exchange (unit: kg/m<sup>3</sup>/s).

4) Energy:

$$\begin{cases} \frac{\partial}{\partial t}(f_\ell \rho_\ell h_\ell) + \nabla \cdot (f_\ell \rho_\ell \vec{u}_\ell h_\ell) = \nabla \cdot (f_\ell k_\ell \nabla \cdot T_\ell) - Q_{\ell e} - Q_{\ell a} \\ \frac{\partial}{\partial t}(f_e \rho_e h_e) + \nabla \cdot (f_e \rho_e \vec{u}_e h_e) = \nabla \cdot (f_e k_e \nabla \cdot T_e) + Q_{\ell e} - Q_{e a} \\ \frac{\partial}{\partial t}(f_a \rho_a h_a) + \nabla \cdot (f_a \rho_a \vec{u}_a h_a) = \nabla \cdot (f_a k_a \nabla \cdot T_a) + Q_{\ell a} + Q_{e a} \end{cases}, \quad (4)$$

where  $h_\ell = h_\ell^{\text{ref}} + \int_{T_{\text{ref}}}^{T_\ell} c_p^\ell dT$ ,  $h_e = h_e^{\text{ref}} + \int_{T_{\text{ref}}}^{T_e} c_p^e dT$ ,  $h_a =$

$h_a^{\text{ref}} + \int_{T_{\text{ref}}}^{T_a} c_p^a dT$ , the latent heat of fusion (unit: J/kg)  
 $L = h_l^{\text{ref}} - h_e^{\text{ref}}$ ,  $h_l$ ,  $h_e$ , and  $h_a$  are enthalpy (unit: J/kg),  $h_l^{\text{ref}}$ ,  
 $h_e^{\text{ref}}$ , and  $h_a^{\text{ref}}$  are reference enthalpy (unit: J/kg),  $T_l$ ,  $T_e$ , and  
 $T_a$  are temperatures (unit: K),  $T_l^{\text{ref}}$ ,  $T_e^{\text{ref}}$ , and  $T_a^{\text{ref}}$  are  
reference temperatures (unit: K),  $k_l$ ,  $k_e$ , and  $k_a$  are thermal  
conductivities (unit: W/m/K), and  $c_p^l$ ,  $c_p^e$ , and  $c_p^a$  is the  
specific heat (unit: J/kg/K).

5) Grain transport:

$$\frac{\partial}{\partial t} n + \nabla \cdot (\bar{u}_e n) = \dot{n}, \quad (5)$$

where  $\dot{n} = \frac{d(\Delta T)}{dt} \cdot \frac{n_{\text{max}}}{\sqrt{2\pi} \cdot \Delta T_\sigma} \cdot e^{-\frac{1}{2} \left( \frac{\Delta T - \Delta T_N}{\Delta T_\sigma} \right)^2}$ ,  $\Delta T = T_f +$   
 $mc_l - T_l$ ,  $n$  is the grain number density (unit:  $\text{m}^{-3}$ ),  $\dot{n}$  is the  
nucleation rate (unit:  $\text{m}^{-3} \cdot \text{s}^{-1}$ ),  $n_{\text{max}}$  is the maximum grain  
number density (unit:  $\text{m}^{-3}$ ),  $\Delta T_N$  is the undercooling at  
maximum nucleation rate (unit: K),  $\Delta T_\sigma$  is the standard  
deviation of Gaussian distribution (unit: K),  $\Delta T$  is  
undercooling (unit: K),  $T_f$  is the melting point of solvent  
(unit: K), and  $m$  is the slope of liquidus (unit: K).

6) Mass transfer term:

$$M_{le} = \frac{2D_\ell}{d_e} \frac{c_\ell^* - c_\ell}{c_\ell^* (1-k)} \pi n d_e^2 \rho_e (1-f_e), \quad (6)$$

where  $d_e$  is grain diameter (unit: m) and  $d_e = \sqrt[3]{6f_e/n\pi}$ ,  $D_\ell$   
is diffusion coefficient (unit:  $\text{m}^2 \cdot \text{s}^{-1}$ ),  $k$  is solute partition  
coefficient (dimensionless), and  $c_\ell^*$  is equilibrium concen-  
tration.

7) Momentum exchange term:

$$\begin{cases} \bar{U}_{la} = K_{la} (\bar{u}_l - \bar{u}_a) \\ \bar{U}_{ea} = K_{ea} (\bar{u}_e - \bar{u}_a) \\ \bar{U}_{le} = \bar{U}_{le}^p + \bar{U}_{le}^d \end{cases}, \quad (7)$$

where  $\bar{U}_{le}^p = \begin{cases} \bar{u}_l M_{le} & \text{for solidification} \\ \bar{u}_e M_{le} & \text{for remelting} \end{cases}$ , and  $\bar{U}_{le}^d =$

$K_{le} (\bar{u}_l - \bar{u}_e)$ .  $K_{la}$  is the momentum exchange coefficient  
between liquid and air (unit:  $\text{kg} \cdot \text{m}^{-3} \cdot \text{s}^{-1}$ ), according to  
Schiller and Naumann model [15];  $K_{ea}$  is the momentum  
exchange rate between solid grain and air (unit:  
 $\text{kg} \cdot \text{m}^{-3} \cdot \text{s}^{-1}$ ), according to a symmetric model [16]; and  $K_{le}$   
is the momentum exchange rate between solid grain and  
liquid (unit:  $\text{kg} \cdot \text{m}^{-3} \cdot \text{s}^{-1}$ ), according to the Wang and  
Beckermann model [15].

8) Species transfer terms:

$$C_{le} = \begin{cases} kc_\ell^* M_{le} & \text{for solidification} \\ c_e M_{le} & \text{for remelting} \end{cases}. \quad (8)$$

9) Energy exchange terms:

$$\begin{cases} Q_{le} = H_{le}^* (T_l - T_e) \\ Q_{la} = H_{la}^* (T_l - T_a) \\ Q_{ea} = H_{ea}^* (T_e - T_a) \end{cases}, \quad (9)$$

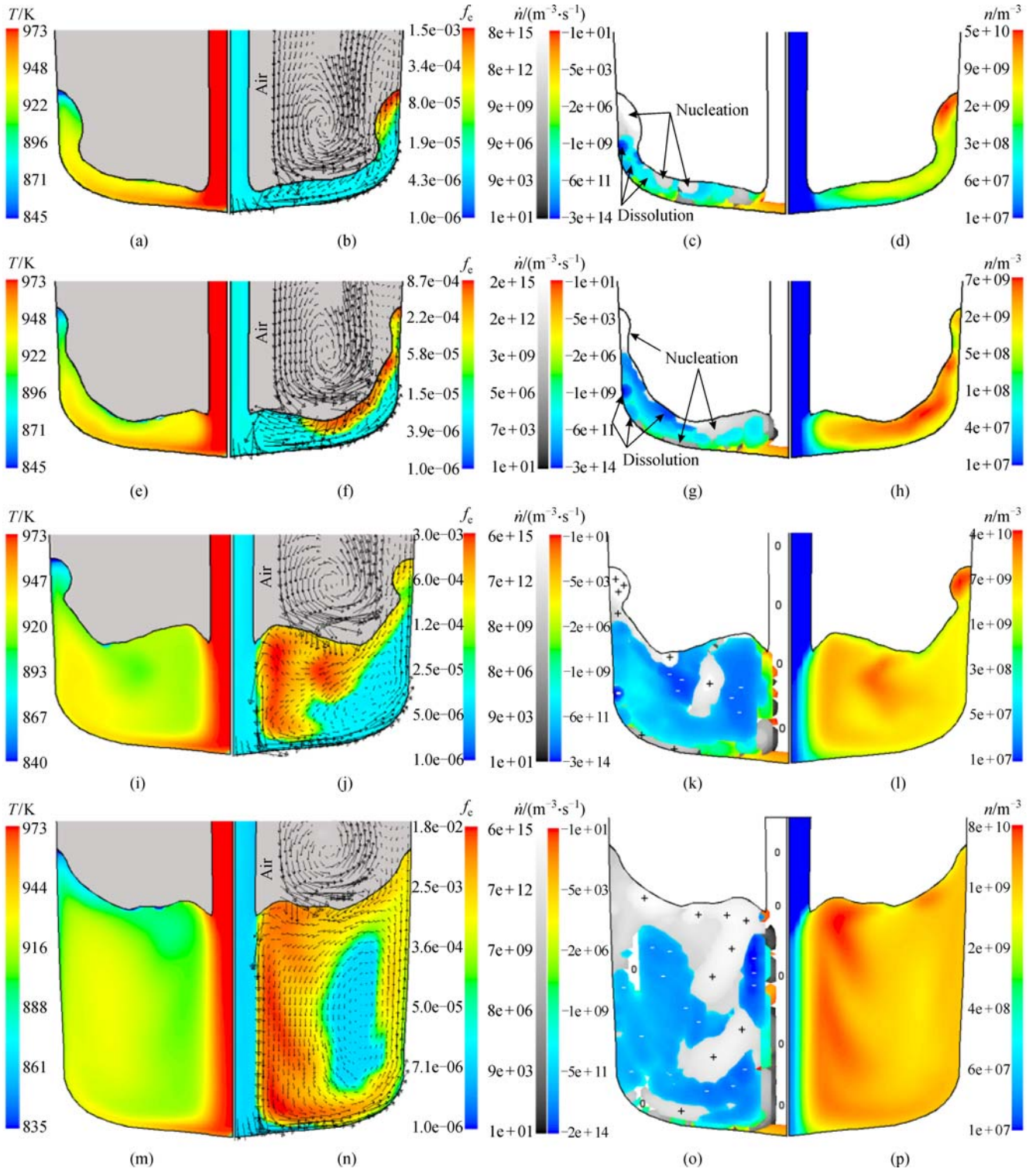
where  $H_{le}^* = 10^{11} \text{ W} \cdot \text{m}^{-3} \cdot \text{K}^{-1}$  is the volume heat exchange  
coefficient between liquid and equiaxed phases,  $H_{la}^* =$   
 $6k_{lf}f_e N_{up}/d_a^2$  is the volume heat exchange coefficient  
between liquid and air, and  $H_{ea}^* = h_{ea}f_e f_a$  is the volume heat  
exchange coefficient between equiaxed and air phases.  $d_a$   
is the characteristic diameter of air (unit: m),  $N_{up}$  is the  
Nusselt number (dimensionless), and  $h_{ea}$  is the empirical  
volume heat exchange coefficient between equiaxed and  
air phases.

The three phases include the air, liquid melt, and  
solidifying globular equiaxed crystals, whose volume  
fractions (velocities) are  $f_a$ ,  $f_l$ , and  $f_e$ , respectively. The  
morphology of the equiaxed crystals is assumed to be  
spherical. The solidification/remelting-induced mass  
exchange between the liquid and the solid phases is  
determined by the diffusion-governed growth kinetics.  
Latent heat is treated as the enthalpy difference between  
the liquid and the solid phases. No mass or species  
exchange occurs between the air and the metal phases, but  
the energy and momentum exchanges between them are  
considered.

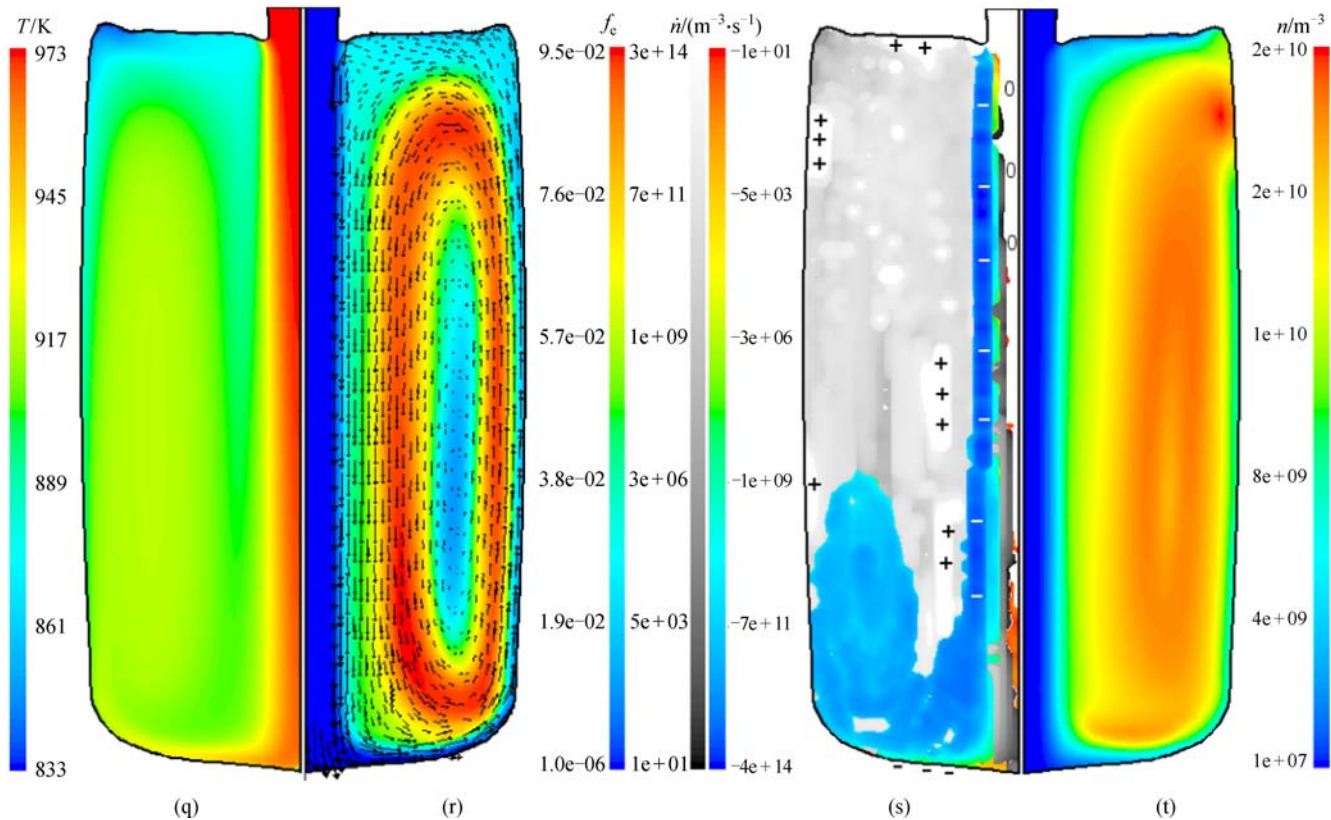
The nucleation and dissolution of globular equiaxed  
crystals are assumed to obey the same nucleation law (Eq.  
(5)) under supercooled and superheated conditions. The  
nucleation with a positive source term of  $\dot{n}$  occurs in an  
undercooled melt. When the solid-liquid mixture is  
superheated, remelting occurs, a negative source term is  
applied to the grain transport equation (Eq. (5)), and a  
proportion of the previously activated nuclei dissolve. The  
nucleation parameters for the Al-4.0 wt.% Cu alloy were  
determined experimentally as follows:  $n_{\text{max}} = 1.48 \times$   
 $10^{11} \text{ m}^{-3}$ ,  $\Delta T_N = 28.84 \text{ K}$ ,  $\Delta T_\sigma = 10.17 \text{ K}$  [17]. The  
initial melt is assumed to carry a trace amount of equiaxed  
crystals with an initial grain number density, a solid  
fraction, and a crystal diameter of  $10^6 \text{ m}^{-3}$ ,  $10^{-6}$ , and  $1 \mu\text{m}$ ,  
respectively. These values are adopted to avoid the  
absolute zero as the initial transport quantities or  
parameters. Taking smaller values would not influence  
the calculation results but instead degrade the numerical  
convergence efficiency. The dissolution of nuclei and  
remelting of crystals are limited with such small values.  
The three-phase model is implemented in an Eulerian  
multiphase CFD code (ANSYS Fluent 14.x) [16]. Includ-  
ing the air phase requires very fine mesh to account for the  
distinct liquid/air interface and consequently increases the  
computational time. A time step of  $10^{-5} \text{ s}$  is used to  
initialize the calculation, and it is updated to  $10^{-4} \text{ s}$  during  
the later stages of the mold filling.

The reference ingot is calculated using the parameters  
shown in Fig. 1. The binary alloy with the initial concentra-









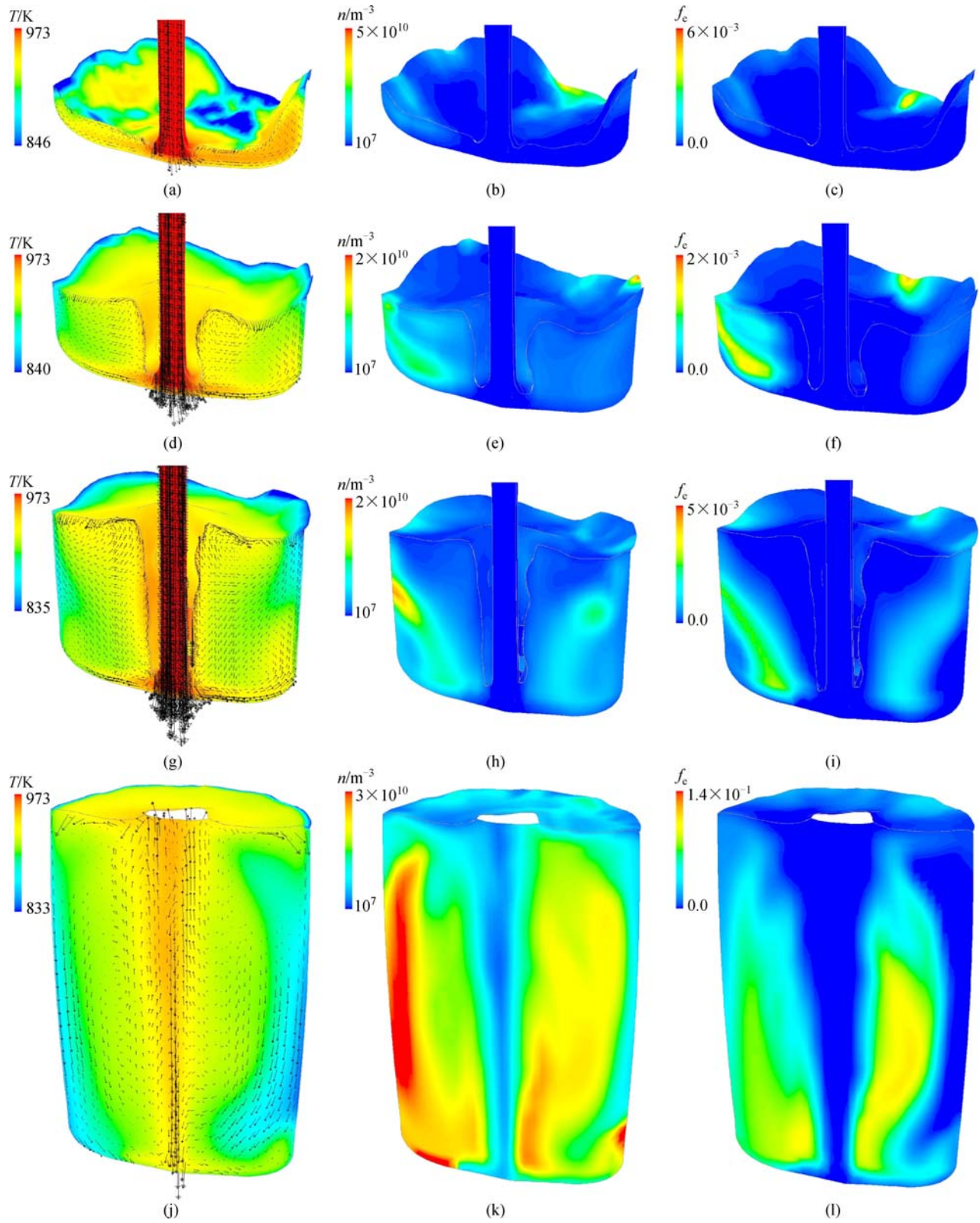
**Fig. 2** Contours of (a)  $T$ , (b)  $f_e$ , (c)  $\dot{n}$  in two color scales representing the birth (gray) and death (color) of the nuclei, and (d)  $n$  at a filling time of 0.4 s; (e)  $T$ , (f)  $f_e$ , (g)  $\dot{n}$  in color scales representing the birth (gray) and death (color) of the nuclei, and (h)  $n$  at a filling time of 0.47 s; (i)  $T$ , (j)  $f_e$ , (k)  $\dot{n}$  in two color scales representing the birth (gray) and death (color) of the nuclei, and (l)  $n$  at a filling time of 1.0 s; (m)  $T$ , (n)  $f_e$ , (o)  $\dot{n}$  in two color scales representing the birth (gray) and death (color) of the nuclei, and (p)  $n$  at a filling time of 2.0 s; (q)  $T$ , (r)  $f_e$ , (s)  $\dot{n}$  in two color scales representing the birth (gray) and death (color) of the nuclei, and (t)  $n$  at a filling time of 5.2 s

and 2(o). The weak flow may engulf a certain amount of crystals from the neighboring region, causing the birth or death of nuclei within the weak falling stream itself, as shown in Figs. 2(k) and 2(s). In general, the swinging motion of the metal surface decays; the region of crystal dissolution expands, spreading over the bottom of the ingot and nearly reaching the superheated zone of the falling stream. During mold filling, the temperature at the top of the ingot is lower than that at the bottom. At the end of the mold filling, the solid crystals are segregated within the flow swirl, as shown in Fig. 2(r). The hot center of the swirl exhibits a very low  $f_e$  and  $n$ , as shown in Figs. 2(r) and 2(t).

In the real flow pattern, the distributions of  $T$ ,  $n$ , and  $f_e$  are highly asymmetric. The fluid dynamics during mold filling is unstable and asymmetric. The 3D calculation results (Fig. 3) are different from those of the 2D axisymmetric results. However, the critical phenomenon captured in the 2D results is also shown in the 3D results. Initially, the superheated melt flow hits the mold bottom, spreading along the mold bottom in all directions, as shown in Figs. 3(a)–3(f). At the melt front, which is in contact with the cold mold, the temperature is always low, a large number of nuclei (as reflected by  $n$ ) are nucleated,

and large amount of crystals (as reflected by  $f_e$ ) are developed. The melt front position is not axisymmetric, and the  $T$  field is highly nonuniform along the peripheral direction. Therefore, the  $n$  and  $f_e$  distributions are asymmetric. As shown in Figs. 3(d)–3(i), the melt flow carries the  $n$  and  $f_e$  from the cold melt front to the bulk region, where mixing with the bulk melt as well as the remelting and dissolution of the crystals occur. Although the predicted distributions of  $n$  and  $f_e$  (Fig. 3(j)–3(l)) in an arbitrary vertical section are different from the 2D axisymmetric results (Fig. 2(q)–2(t)), both 2D and 3D calculations predict that similar amounts of  $n$  and  $f_e$  survive at the end of the mold filling. In addition, the evolutionary trends of the volume-weighted averages of  $n$  and  $f_e$  behave similarly between the 2D and 3D calculations, as discussed subsequently. The simulation time for the 3D calculation (average cell size,  $\sim 2.13$  mm) by using four parallel cores (Intel™ Nehalem 2.93 GHz Cluster) is approximately 8 days. By contrast, the calculation time for the 2D grid (average cell size  $\sim 2.3$  mm) is only 12 h when only one core of the same computing machine. Therefore, the 2D axisymmetric grid is employed in the subsequent analyses.

The volume-weighted averages of quantities  $T$ ,  $n$ , and  $f_e$



**Fig. 3** Contours of (a)  $T$  overlaid with  $\vec{u}_\ell$ , (b)  $n$ , and (c)  $f_e$  at filling times of 0.4 s; (d)  $T$  overlaid with  $\vec{u}_\ell$ , (e)  $n$ , and (f)  $f_e$  at filling times of 1.0 s; (g)  $T$  overlaid with  $\vec{u}_\ell$ , (h)  $n$ , and (i)  $f_e$  at filling times of 2.0 s; (j)  $T$  overlaid with  $\vec{u}_\ell$ , (k)  $n$ , and (l)  $f_e$  at filling times of 5.24 s (The pouring temperature is 973 K. Only half of the calculation domain is shown. The  $\vec{u}_\ell$  vectors are shown in the vertical section.)

as functions of time are plotted in Fig. 4. The average quantity is calculated by  $\bar{\varphi} = (1/V) \sum_{i=1}^n \varphi_i |V_i|$  where  $V$  is the integral of the metal-filled volume and  $\varphi_i$  and  $V_i$  are the monitored quantity and metal-filled volume of element, respectively. The falling melt flow retains its initial pouring temperature until it hits the bottom of the mold at  $\sim 0.2$  s. At this moment, the melt is abruptly undercooled, and its average temperature drops by more than 40 K. Thereafter, the cooling rate decreases gradually due to the continuous filling of the mold by the superheated melt and heating up of the mold. The thermal behavior of metal is associated with the crystal initiation, which occurs at 0.2 s, when  $n$  jumps from an initial value of  $10^6$  to  $7.0 \times 10^9 \text{ m}^{-3}$  and  $f_c$  reaches  $\sim 0.0002$ . The average  $n$  and  $f_c$  fluctuate after 0.2 s due to the swinging motion of the melt, as previously explained. The fluctuations decay with time until 1.5 s. The average grain number density gradually increases to the maximum value at  $\sim 2.5$  s (half-filled mold). The grain number density remains almost constant. However, the crystals continue to grow at a decreasing rate until it reaches a solid fraction of 0.058 at the end of filling. A remarkable feature of the result is shown in Fig. 4, that is, the volume weighted averages of the quantities between 2D and 3D calculations behave similarly. The quantitative difference between the 2D and the 3D may be due to the nature of the asymmetric flow pattern, which cannot be solved in axisymmetric geometry. The grid size (resolution) is also a factor influencing the calculation accuracy, as demonstrated in Section 5.

An advanced five-phase volume average model [10] is used to calculate the as-cast structure of an Al-4.0 wt.% Cu ingot. The as-filled results of the previous mold filling simulation are set as the initial conditions in the calculation of the subsequent mixed columnar-equiaxed solidification by using the five-phase model. The numerical results are compared with the as-cast structures of the ingots poured at different pouring temperatures (Figs. 5 and 6). Very good agreement is achieved. When the ingot is poured at a high temperature (1073 K) with a superheat as high as  $\sim 150$  K

(liquidus temperature, 922.15 K), a mixed columnar-equiaxed structure with distinct equiaxed and columnar zones separated by the columnar-to-equiaxed transition (CET) line, is numerically predicted and experimentally verified. The area of the equiaxed zone enclosed by the CET line (dotted) in Figs. 5 and 6, is similar to the area of the numerically predicted equiaxed zone. The shapes of the CET lines are different between the experimental and numerical results, especially in the upper region. The CET line of the as-cast structure is estimated. Identifying the pure equiaxed zone from the mixed columnar-equiaxed zone on the basis of the metallographic analysis is difficult. The number density at the center of the equiaxed zone reaches  $\sim 9 \times 10^8 \text{ m}^{-3}$ , which corresponds to a grain size of 1.28 mm. When the ingot is poured at a low temperature (973 K) with a superheat of  $\sim 50$  K, an early completely equiaxed structure is obtained (Fig. 6). The numerical result shows that a number of small areas exist in the columnar zone in the upper edge and bottom corner; however, these areas are undetectable in the metallographic image. The grains at the center of the equiaxed zone are very fine. The number density reaches  $6 \times 10^{10} \text{ m}^{-3}$ , which corresponds to a grain size of 320  $\mu\text{m}$ .

The cause of the structural difference between the ingots poured at different pouring temperatures is due to the premature solidification during mold filling. The influences of the pouring parameters on the premature solidification are investigated in the next section.

## 4 Parametric study

A series of numerical calculations are conducted by varying the pouring parameters  $T_p$ ,  $T_m$ , and  $v_p$ , as listed in Table 1, to investigate the influence of the pouring process on the premature solidification of the melt during mold filling. The volume weighted averages of the quantities as functions of filling time are analyzed.

The influence of pouring temperature on the premature solidification is summarized in Fig. 7. All cases present

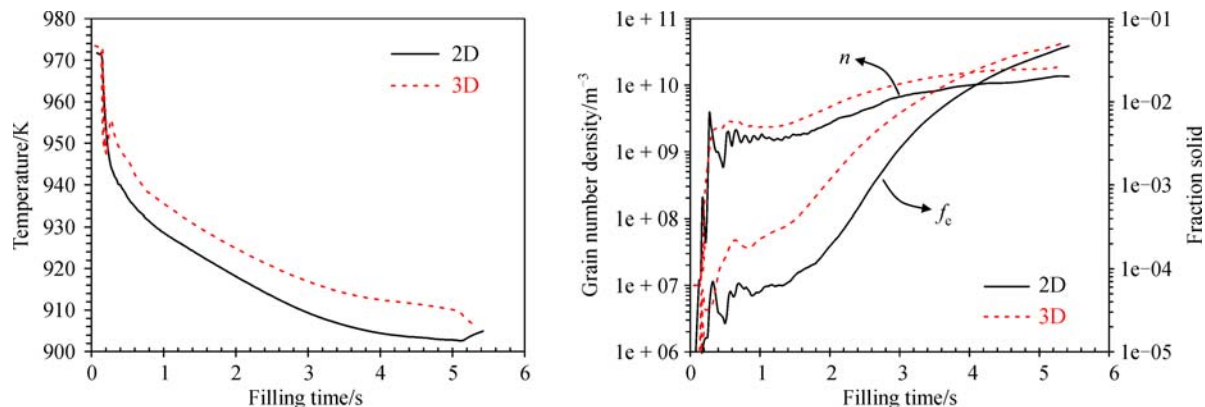
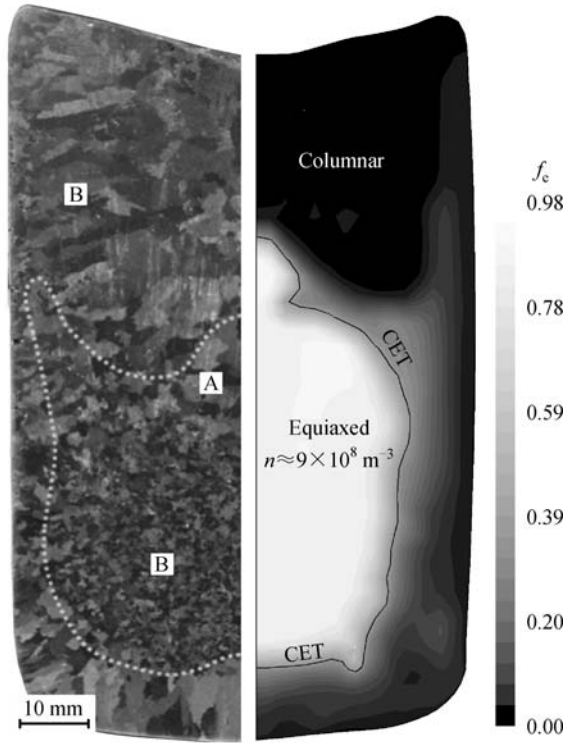
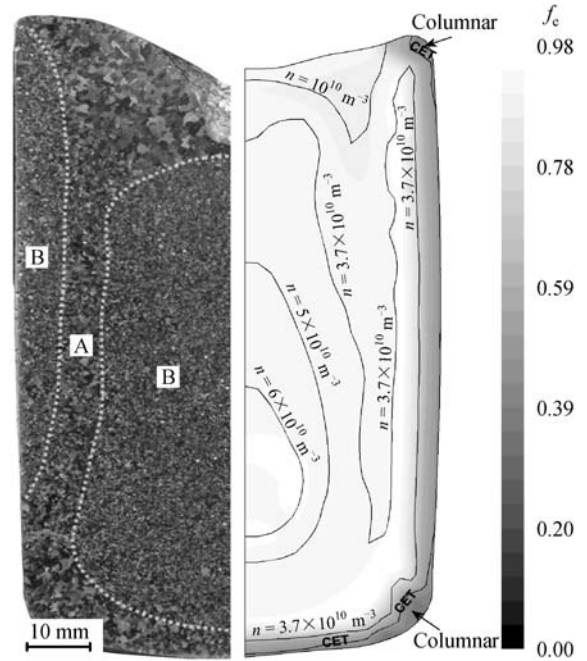


Fig. 4 Average  $T$ ,  $n$ , and  $f_c$  as functions of filling time for the ingot poured at 973 K





**Fig. 5** As-cast structure (left) and the numerically predicted phase distribution (right) in an Al-4.0 wt.% Cu ingot poured at a pouring temperature of 1073 K (The dotted line in the metallographic image presents the estimated columnar-to-equiaxed transition (CET) line.)



**Fig. 6** As-cast structure (left) and the numerically calculated phase distribution (right) in an Al-4.0 wt.% Cu ingot poured at a pouring temperature of 973 K (The dotted lines in the metallographic image separate regions of different grain sizes. The simulation result shows the volume fraction of the equiaxed phase overlaid with isolines of the number density of equiaxed grains.)

similar variation trends of the average melt temperature during filling (Fig. 7(a)). The falling melt retains its pouring temperature until it hits the bottom of the mold at  $\sim 0.2$  s, after which cooling of the melt starts. Consequently, the mold starts to heat up. The highest cooling rate of the melt occurs immediately after the first falling melt contacts with the bottom mold; thereafter, the cooling rate decreases with time. At the end of the mold filling (5.2 s), large temperature differences among the cases occur. The average temperatures when the pouring temperatures are 1073 K and 1023 K are higher than the liquidus temperature (922.15 K), whereas the average temperatures when the pouring temperatures are 973 K and 963 K are lower than the liquidus temperature.

The cooling process of the melt affects the nucleation and the premature melt solidification, as shown in Figs. 7(b) and 7(c), respectively. Independent of  $T_p$ , the nucleation immediately starts when the first melt contacts with the bottom mold (0.2 s). However, the nucleation intensity decreases with  $T_p$ . Interestingly, the average  $n$  and  $f_e$  exhibit fluctuations at the initial stage of the mold filling. This result is mainly due to the immediate nucleation and solidification (release of latent heat) in the undercooled melt, as well as the low as-filled volume at the initial stage. After 3 s, when the entire volume of the mold is nearly

filled, this fluctuation phenomenon gradually vanishes. The premature melt solidification in the case of  $T_p = 1073$  K is negligible. At the end of the mold filling, the reduction in  $T_p$  to 973 K results in  $n$  and  $f_e$  values of  $1.5 \times 10^{10} \text{ m}^{-3}$  and 0.058, respectively.

The initial mold temperature,  $T_m$ , has a substantial effect on the premature melt solidification (Fig. 8). The nucleation occurs at approximately 0.2 s, and the nucleation intensity decreases as  $T_m$  increases. The nucleation rate decreases progressively thereafter, particularly in high  $T_m$  cases. Subsequently,  $n$  gradually starts to increase earlier at  $\sim 0.6$  s in the case of  $T_m = 393$  K, but it is delayed until  $\sim 3$  s in the case of  $T_m = 575$  K. At the end of the mold filling, the highest average  $n$  is obtained in the case with the lowest  $T_m$ . An average  $f_e$  of  $\sim 0.06$  is obtained when  $T_m$  is the room temperature, whereas a negligible premature solidification is predicted in the case of  $T_m = 573$  K.

The influences of pouring velocity on the evolutionary trends of  $n$  and  $f_e$  are shown in Fig. 9. The changes in  $n$  and  $f_e$  with time are similar across the four cases of different pouring rates. The main difference is the duration of the mold filling. The filling time is longer when the pouring velocity is lower. The differences in the grain number densities,  $n$ , among the four different pouring rates are not

**Table 1** Case definitions of the pouring parameters

Pouring temperature, $T_p$ /K	Initial mold temperature, $T_m$ /K	Cases corresponding to varied pouring velocities ( $v_p$ /( $m \cdot s^{-1}$ ))			
		0.800	1.037	1.200	1.400
963	293	–	*	–	–
973	293	*	* x	*	*
	423	–	*	–	–
	573	–	*	–	–
1023	293	–	* x	–	–
1073	293	–	* x	–	–

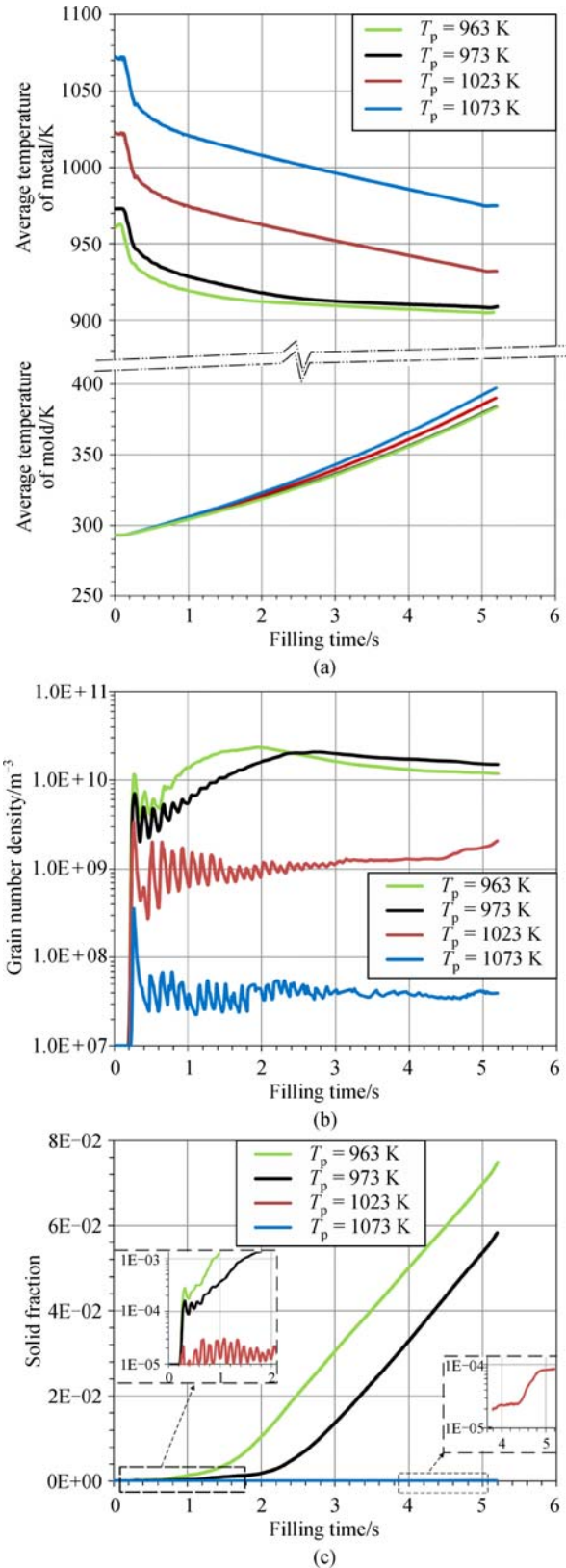
\*: Studied numerically; x: Verified experimentally

significant at the end of the mold filling, and their range is  $1.2 \times 10^{10} - 2.0 \times 10^{10} m^{-3}$ . However, the premature solidification of the equiaxed phase depends significantly on the pouring velocity:  $f_e \approx 0.023$  for  $v_p = 1.4 m \cdot s^{-1}$ ;  $f_e \approx 0.1$  for  $v_p = 0.8 m \cdot s^{-1}$ . Under the same conditions of  $T_p$  and  $T_m$ , slow pouring provides the activated equiaxed nuclei in the melt with a longer time to grow during the mold filling process; consequently, the equiaxed phase increases.

## 5 Discussion

The  $NH_4Cl-H_2O$  experiment of Jackson et al. [5] provided a method for observing premature melt solidification and crystal motion during filling. In other laboratory experiments, postmortem analyses have been mainly conducted for the study of the as-cast structure castings or ingots [1–9] to figure out the dependency of the as-cast structure on the pouring methods and parameters. Abstracted information from such experiments can only be used to verify the importance of the premature solidification in the subsequent solidification and formation of the final as-cast structure. The information of premature solidification, i.e.,  $n$  and  $f_e$ , during mold filling is impossible to monitor, and its role in the formation of the final as-cast structure is impossible to quantify. The subsequent solidification process, including the mixed columnar-equiaxed crystal growth, thermo-solutal convection, and crystal sedimentation, is also essential to the as-cast structure. Currently, establishing a mathematical model including these contributing events during both mold filling and subsequent solidification can be considered ambitious, but we firmly believe that a complete understanding of the as-cast structure and its dependency on mold filling can only be achieved by using such a model.

Thus, a three-phase model is used to monitor the mold filling sequence with the necessary transport quantities (i.e.,  $T$ ,  $n$ ,  $c_\ell$ , and  $f_e$ ) related to the premature melt solidification and the interplay among these quantities. As shown in Fig. 2, the following critical steps of the premature melt solidification process can be tracked as



**Fig. 7** Influences of pouring temperature on (a) the average temperature of the liquid metal and mold, (b) the number density of crystals, and (c) the volume fraction of solid ( $T_m = 293$  K;  $v_p = 1.037 m \cdot s^{-1}$ )

follows: The mold filling sequence by considering the melt free surface, the dynamic cooling of the melt due to contact with the cold mold and the reheating due to the flow and mixing with other superheated melt, the onset of the crystal nuclei by the mechanism of heterogeneous nucleation in the undercooled melt, the transport of crystals by the melt flow (big bang theory), the diffusion-governed crystal growth, and the dispersion of crystals and mixing with the superheated melt leading to remelting and dissolution of crystals. The dynamics of the mold filling and premature melt solidification are highly complex. The first melt that hits the bottom of the mold is immediately undercooled; as a result, a high nucleation rate is generated at the melt front. Subsequently, nucleus growth and solidification commence. The melt continuously carries the nuclei ( $n$ ) and solid crystals ( $f_e$ ) to the bulk melt region; consequently, both are mixed with the superheated melt, and a proportion of the crystals are remelted and dissolved. The surviving crystals are redistributed in the bulk region following the pattern of the flow. The swinging motion of the free surface near the melt front causes fluctuations in  $n$  and  $f_e$ . A certain amount of  $n$  and  $f_e$  remains at the end of the mold filling depending on the pouring conditions (i.e.,  $T_p$ ,  $T_m$ , and  $v_p$ ). The remaining  $n$  and  $f_e$ , together with the remaining superheat, significantly influences the subsequent solidification. For example, for the ingot poured at  $T_p = 1073$  K ( $T_m = 293$  K;  $v_p = 1.04$  m·s<sup>-1</sup>), the role of premature solidification is negligible: The average  $n$  is in the magnitude of  $10^7$  m<sup>-3</sup>, the average  $f_e$  is approximately  $10^{-4}$ , and the average temperature of the melt remains higher than the liquidus temperature (Fig. 7). Accordingly, a mixed columnar-equiaxed as-cast structure is obtained, and most equiaxed crystals in this ingot are nucleated during the subsequent solidification process after mold filling (Fig. 5). In another case, the ingot poured at  $T_p = 973$  K ( $T_m = 293$  K;  $v_p = 1.04$  m·s<sup>-1</sup>) demonstrates significant premature solidification: The average  $n$  is in the magnitude of  $10^{10}$  m<sup>-3</sup>, the average  $f_e$  is approximately 0.06, and the average temperature of the melt becomes even slightly below the liquidus temperature (Fig. 7). Therefore, an almost completely equiaxed structure is obtained (Fig. 6). The current modeling example demonstrates that, by considering the premature melt solidification, the as-cast structure can be well “reproduced” numerically. Our recent work has failed to model the as-cast structure of an ingot that was poured at a low temperature [11], because the important role of the premature solidification during mold filling was ignored.

The key premature solidification quantities, which dominantly influence the subsequent solidification and as-cast structure, are the remaining  $n$  and  $f_e$  at the end of filling. With this point in mind, a parametric study is performed to investigate the influences of the pouring parameters (i.e.,  $T_p$ ,  $T_m$ , and  $v_p$ ) on the remaining  $n$  and  $f_e$ . The higher the  $T_p$ , the less the remaining  $n$  and  $f_e$  (Fig. 7).

Similarly, the higher the  $T_m$ , the less the remaining  $n$  and  $f_e$  (Fig. 8). Scholars have successfully used the method of controlling  $T_p$  and  $T_m$  in modifying the as-cast structure [7,11]. Pouring speed ( $v_p$ ) has also been considered as an influencing parameter on the premature solidification in existing studies, but the current parametric study shows that the influence of this quantity is weak compared with those of  $T_p$  and  $T_m$  (Fig. 9).

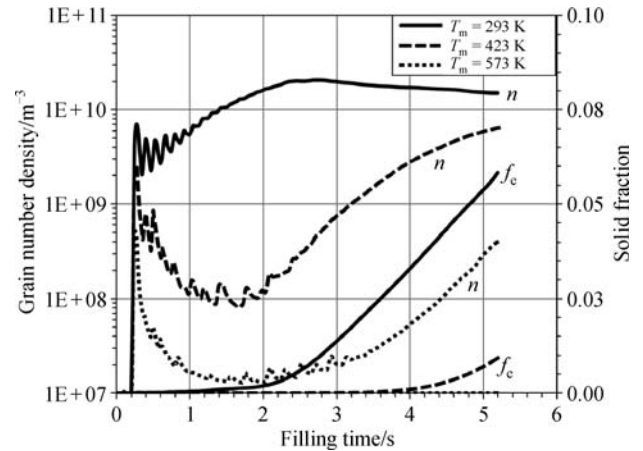


Fig. 8 Influences of the initial mold temperature on average  $n$  and  $f_e$  ( $T_p = 973$  K;  $v_p = 1.037$  m/s)

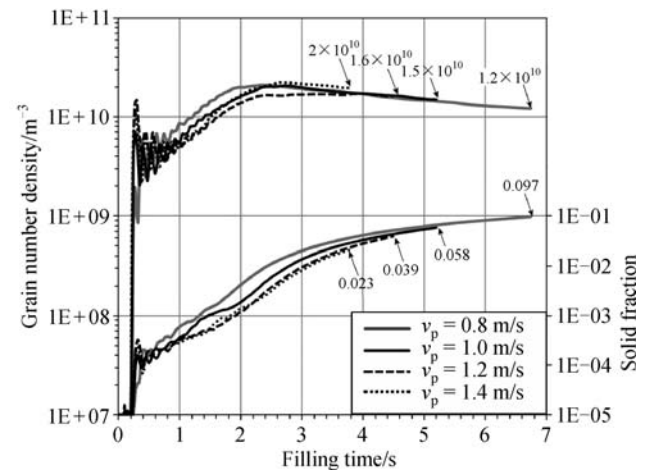


Fig. 9 Influences of pouring velocity on the average grain number density and volume fraction of solid ( $T_p = 973$  K;  $T_m = 293$  K)

At this point, the remaining questions are the uncertainties of the numerical model and the calculation accuracy. The agreement between the numerical simulation and experimental results, which is presented in Figs. 5 and 6, supports the numerical model, but further evaluation is desired. Some phenomena during mold filling have to be simplified or disregarded in the numerical model, such as the turbulence of the flow, formation of the oxidation film

(a typical case for an aluminum melt) at the free surface, and dendritic morphology of crystals. For the further verification of the effectiveness of the current model in quantitatively demonstrating the premature solidification during mold filling, an experiment similar to that conducted by Jackson et al. [5] is recommended. In this experiment, the flow field, the development of the crystal number density, and the average crystal size should be further examined.

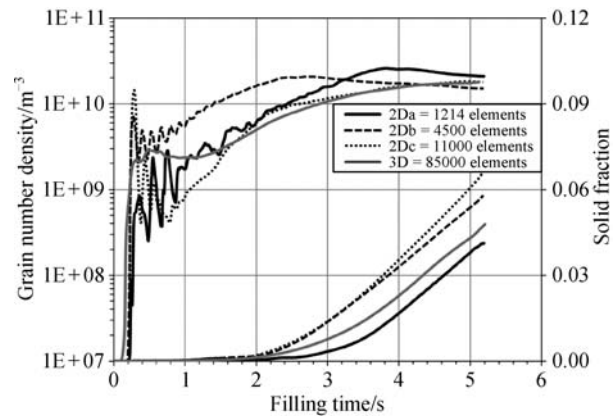
An important numerical factor influencing the calculation accuracy of the fluid flow is the grid size. Mesh dependency is a general issue in computational fluid dynamics. However, with the capacity of current computer hardware, obtaining grid-convergent modeling results is not feasible. Therefore, a study of grid and dimension sensitivity is performed in this study to understand the influence of such a factor. The results are listed in Table 2. A reference case with the following fixed pouring parameters is considered:  $T_p = 973$  K;  $T_m = 293$  K;  $v_p = 1.04$  m/s. The average  $n$  and  $f_e$  plotted as functions of filling time are compared using cases of different grid sizes and dimensions (Fig. 10). In general, the calculated results of all the grids behave similarly to those presented in the previous sections. Nucleation starts at 0.2 s in all cases. The grain number density reaches a local peak value and subsequently exhibits fluctuations, which decay gradually with time. At the initial stage, the curves of  $n$  are different for different grids and dimensions. However, at the end of filling (5.2 s), similar  $n$  values are obtained for all grids. The evolution of  $f_e$  is more sensitive to the grid size. The curves of  $f_e$  for grid sizes of 1.2 and 0.67 mm are more similar with each other compared with the curve for a grid size of 2.3. However, we still cannot obtain grid-convergent results. The difference between the curves obtained by 2D axisymmetric calculation (grid size 2.3 mm) and complete 3D calculation (grid size 2.13 mm) are similar.

**Table 2** Case definitions for the grid sensitivity study

Calculated type	Number of volume elements	Cell size/mm
2Da	121	2.3
2Db	4500	1.2
2Dc	11000	0.67
3D	85000	2.13

## 6 Conclusions

This modeling study has confirmed the importance of the premature melt solidification during mold filling in the formation of the final as-cast structure. When the casting is filled at lower superheat, the crystals forming in the melt of the cold region near the mold wall are carried to the bulk melt by the melt flow. This mechanism is in accordance with the “big bang” theory. At the end of the mold filling,



**Fig. 10** Comparison of the calculated average  $n$  and  $f_e$  for various 2D grid sizes and 3D

these crystals survive the superheat and continue to grow in competition with the columnar structure grown in the outer wall region. As a result, a mixed columnar-equiaxed or even a dominant equiaxed structure is developed. This modeling result is in consistent with the laboratory experimental result. Therefore, the numerical models that ignore the premature melt solidification during mold filling could generate errors in the estimation of the as-cast structure. The three-phase mold filling model, which considers the globular equiaxed solidification, is used in this study. It provides an effective tool for analyzing the premature melt solidification. Further model evaluation is desired.

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